

GRAPHS ON \mathbb{R} ARE INTERESTING

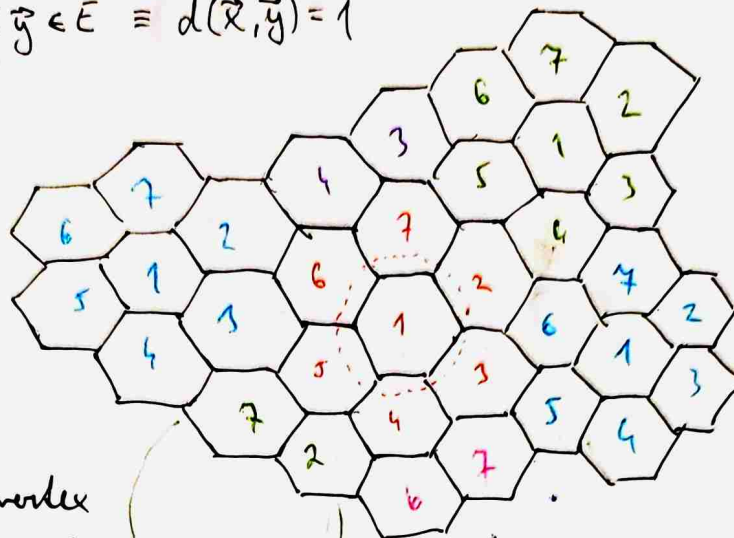
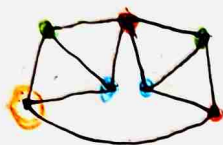
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① Chromatic number of the plane - Hadwiger, Nelson 1950s

$$G = (\mathbb{R}^2, E) \quad \dots \quad x, y \in E \equiv d(x, y) = 1$$

UNIT DISTANCE GRAPH

👁 $4 \leq \chi(G) \leq 7$



2018: $\chi(G) \geq 5$

↳ computer found 1581-vertex unit distance graph requiring 5 colors

• de Bruijn-Erdős Theorem: $\chi(G) \leq k \Leftrightarrow \forall \text{ finite } H \subseteq G : \chi(H) \leq k$

↳ requires AC $\Rightarrow \chi(G)$ could be different in ZF \times ZFC

② Conditional chromatic number graph - Shelah, Soifer 2003

$$G = (\mathbb{R}, E) \quad \dots \quad x, y \in E \equiv |x - y| = \sqrt{2} + q, \quad q \in \mathbb{Q}$$

! $\chi(G)$ depends on axioms

• ZFC $\rightsquigarrow \chi(G) = 2$

• ZF+DC+LM $\rightsquigarrow \chi(G) > \omega$

↳ $\forall A \subseteq \mathbb{R}$ is Lebesgue measurable

... Solovay's model, requires consistency of an inaccessible cardinal

GEOMETRIC GRAPHS WITH EXPONENTIAL $\chi(G)$ AND ARBITRARY GIRTH

↳ by Matija Ducic & James Davies

Theorem 1: There \exists unit-distance graphs in \mathbb{R}^d with $\chi(G) \geq (1.074 + o(1))^d$ that have arbitrarily large girth

Theorem 2: There \exists diameter graphs in \mathbb{R}^d with $\chi(G) \geq (1.107 + o(1))^{\sqrt{d}}$ that have arbitrarily large girth

Theorem 3: There \exists orthogonality graphs in S^{d-1} with $\chi(G) \geq (1.074 + o(1))^d$ that have arbitrarily large girth

→ they first show slightly weaker bounds via a self contained proof
1.067 instead of 1.074 and 1.096 instead of 1.107

→ we will focus on this, the stronger bounds are "black box arguments"

• 3 implies 2 and 1 ($1.096 = 1.067^{\sqrt{2}}$ and $1.107 = 1.074^{\sqrt{2}}$)

• $d := 8p$ where $p > 2$ prime (if d is not of this form, take $d' = 8p$ where p is the largest prime $< d/8$... Fact: the loss only impacts the $o(1)$ term)

• m ... large positive integer, $[m] = \{1, 2, \dots, m\}$

$V' := \{v \in \{\pm 1\}^{\frac{d}{2}} \mid \sum_{i=1}^{\frac{d}{2}} v_i = 0 \text{ \& \ } v_{\frac{d}{2}} = 1\}$

• for $v \in V'$ and $j \in [m]$ let $v(j) := (v \cdot \cos \frac{2\pi j}{m}, v \cdot \sin \frac{2\pi j}{m}) \in \mathbb{R}^d$

$V := \{v(j) \mid v \in V', j \in [m]\}$

👁 $V \subseteq \sqrt{\frac{d}{2}} S^{d-1}$... $\|v(j)\|^2 = \sum_{i=1}^{\frac{d}{2}} v_i^2 (\cos^2(\frac{2\pi j}{m}) + \sin^2(\frac{2\pi j}{m})) = \sum_{i=1}^{\frac{d}{2}} v_i^2 \cdot 1 = \frac{d}{2}$

👁 $u \perp v \Rightarrow u(j) \perp v(i)$... $\sum_{i=1}^{\frac{d}{2}} u_i v_i = 0 \Rightarrow \sum_{i=1}^{\frac{d}{2}} u_i v_i (\cos(\frac{2\pi j}{m}) \cos(\frac{2\pi i}{m}) + (\sin))$

• $G' := (V', \{uv \mid u \perp v\})$ $= \cos \cdot \cos \cdot \sum_{\text{minimum}} u_i v_i + (\sin) = 0$

• $G^{1m} :=$ blow-up of G' ... replace each vertex v with an ind. set $\{v(j) \mid j \in [m]\}$
... replace each edge with $K_{m,m}$

$\Rightarrow G^{1m}$ is an orthogonality graph in $\sqrt{\frac{d}{2}} S^{d-1}$ with vertex set V

↳ after scaling by a factor of $\sqrt{\frac{2}{d}}$, we get orthogonality graph in S^{d-1}



(4)

Plan ① $\chi(G') = \chi(G^{im}) \geq (1.067 + o(1))^d$

② we sample random graphs G_0 by removing some edges from G^{im}

③ using the probabilistic method we show that \exists outcome where G_0 does not have a lot of short cycles

④ we remove 1 edge from each cycle and show that χ does not decrease

Lemma: $u, v \in V'$... $\langle u|v \rangle = 4(t-h)$ where $t = \#i : v_i = u_i = 1$

$d = 8h, u, v \in \{\pm 1\}^{d/2}$

Proof: $\langle u|v \rangle = N_{1,1} + N_{-1,-1} - N_{1,-1} - N_{-1,1}$

$\bullet N_{1,1} = t, N_{1,1} + N_{1,-1} = N_{1,\cdot} = \frac{d}{4} = 2h \dots \sum_{i=1}^{d/2} v_i = 0 \Rightarrow$ exactly half is 1

$\Rightarrow N_{1,-1} = 2h - t$, similarly $N_{-1,1} + N_{-1,-1} = 2h \Rightarrow N_{-1,1} = 2h - t$

$\Rightarrow N_{1,1} + N_{1,-1} + N_{-1,1} + N_{-1,-1} = 4h \Rightarrow N_{-1,-1} = t$

$\Rightarrow \langle u|v \rangle = t + t - 2h + t - 2h + t = 4(t-h)$ \blacksquare

Theorem: $\chi(G') \geq (1.067 + o(1))^d$

Proof: If we partition V' into $\chi(G') =: k$ sets A_1, \dots, A_k , then each A_i contains no orthogonal pair of vectors ... they would share an edge

claim: If A contains no orthogonal pair of vectors, then $|A| \leq 2 \binom{4h}{h-1}$

$\Rightarrow \chi(G') \geq \frac{|V'|}{2 \binom{4h}{h-1}} = \frac{\binom{4h}{2h}}{2 \binom{4h}{h-1}} \stackrel{\text{STIRLING}}{=} (27/16 + o(1))^h = (27/16 + o(1))^{d/8} \geq (1.067 + o(1))^d$

proof: Define polynomials $f_v: \{\pm 1\}^{4h} \rightarrow \mathbb{Z}_p$ for each $v \in V'$ as

$$f_v(x) := \prod_{i=1}^{4h} (\langle x|v \rangle - i) \pmod p \dots \text{poly in } x_1, \dots, x_{4h}$$

$\odot u, v \in V', f_v(u) \not\equiv 0 \pmod p \Leftrightarrow \langle u|v \rangle \equiv 0 \pmod p$

$\bullet \Leftarrow f_v(u) = (h-1)(h-2)\dots(1) \not\equiv 0 \pmod p$

$\bullet \Rightarrow$ if $\langle u|v \rangle \not\equiv 0 \pmod p$, then \exists term $= p \Rightarrow f_v(u) \equiv 0 \pmod p$

$\odot f_v(u) \equiv 0 \pmod p \Leftrightarrow \underline{u=v} \vee \underline{u \perp v}$

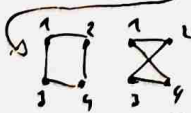
\hookrightarrow Lemma: $\langle u|v \rangle = 4(t-h) \dots t \geq 1 \because u_{4h} = v_{4h} = 1$ & both u, v have exactly $2h$ ones

$\hookrightarrow p > 0 \dots 4(t-h) \equiv 0 \pmod p \Leftrightarrow t-h \equiv 0 \pmod p \Leftrightarrow \underline{t=2h} \vee \underline{t=h}$ from \odot

FINISHING THE PROOF

- for every $f_v(x)$ define $\bar{f}_v: \{\pm 1\}^{4k} \rightarrow \mathbb{Z}_p$ by replacing each occurrence of X_i^n by $X_i^{n \bmod 2}$
- $X_i^n \equiv X_i^{n \bmod 2} \pmod{p}$ for $X_i \in \{\pm 1\} \Rightarrow f_v(u) = \bar{f}_v(u)$ for $u \in V^1$
- ↳ $X_i = 1 \dots 1^n = 1^0 = 1^1 = 1, X_i = -1 \dots (k-1)(k-1) = k^2 - 2k + 1 \equiv 1 \pmod{p}$
- $\{f_v | v \in A\}$ are linearly independent over \mathbb{Z}_p
- ↳ $f_v(w) \neq 0$ but $f_v(u) \equiv 0$ for $v \neq u \in A$ since A contains no orthogonal pairs
- ↳ suppose e.g. $f_w = f_v + f_u \dots f_w(w) \neq 0$, but $f_v(w) + f_u(w) \equiv 0$
- the polynomials f_v are spanned by the set of monomials
- $X := \{X_{i_1} X_{i_2} \dots X_{i_k} \mid 1 \leq i_1 < i_2 < \dots < i_k \leq 4k, k \leq k-1\}$
- $|X| = \binom{4k}{0} + \binom{4k}{1} + \dots + \binom{4k}{k-1} \leq 2 \binom{4k}{k-1} \dots$ fact
- $\{f_v | v \in A\}$ is linearly independent, and spanned by $2 \binom{4k}{k-1}$ elements
- ⇒ $|A| = |\{f_v | v \in A\}| \leq |\text{basis}| \leq |X| \leq 2 \binom{4k}{k-1}$ ▀

ESTIMATING #SHORT CYCLES

- let g be given, we want a graph with $girth \geq g$
- $k = \chi(G), m := 2^{8k} g \cdot (4k)^{4k} \cdot (k-1)^2$
- recall that G^{1m} is an orthogonality graph in $S^{d-1}, \chi(G^{1m}) = k$
- $m = m \cdot 2^{4k} \geq |V(G^{1m})| \dots$ simple upper bound on $V(G^{1m})$
- sample $G_0 \sim G^{1m}(q) \dots$ independently randomly take each edge with prob $q := \frac{m^{1/(2g)}}{m}$
- $\mathbb{E}[\# \text{cycles of length } = l \text{ in } G_0] \leq \binom{m}{l} \cdot \underline{l!} \cdot \underline{q^l} \leq \frac{m^l}{l!} \cdot l! \cdot q^l = (mq)^l = m^{l/(2g)}$
- choose an l -element subset
- $l!$ permutations, but the rotation / direction do not matter
- prob of including all l edges
- 
- $(1,2,3,4) \equiv (4,1,2,3) \equiv (4,3,2,1)$
- $\mathbb{E}[\# \text{cycles of length } < g] \leq \sum_{l=3}^{g-1} m^{l/(2g)} \leq \sum_{l=0}^{g-1} (m^{1/(2g)})^l \leq (m^{1/(2g)})^g = m^{\frac{1}{2}} = \sqrt{m}$
- $m^{1/(2g)} \geq 2 \dots m = 2^{8k} \cdot m$ and $m \geq 2^{8k} g \Rightarrow (2^{8k} g)^{\frac{1}{2g}} = 2^{4k} > 2$

Markov inequality: $P[X > t \cdot \mathbb{E}X] < \frac{1}{t} \dots$ finitely many outcomes

$$P[\# \text{short cycles} > 2\sqrt{m}] < \frac{1}{2}$$

ARGUING THAT X DOES NOT DECREASE

$$m_0 := \frac{m}{k-1} = 2^{8k} \cdot (4k)^{4g} \cdot (k-1)^2$$

$\rightarrow E(U,W)$ = edges between U and W

notation: $u, w \in V(G')$, U, W = vertices of G^m corresponding to u resp. w

claim: $P[\exists uw \in E(G') \exists U, W \text{ of size } m_0 \text{ s.t. } |E(U,W)| \leq 2\sqrt{m}] < \frac{1}{2}$ **P***

$$\Rightarrow P[\# \text{ short cycles} \leq 2\sqrt{m}] > \frac{1}{2} \quad \& \quad P[\forall uw \in E \forall U, W : |E(U,W)| > 2\sqrt{m}] > \frac{1}{2}$$

$\Rightarrow \exists$ outcome G_0 in which both are satisfied

$\bullet G := G_0$ after removing an arbitrary edge from each cycle

Theorem: $\chi(G) = \chi(G')$... giving Theorem 3 with base = 1.067

Proof: Suppose \exists proper coloring $\chi: V(G) \rightarrow [k-1]$ by $k-1 < k = \chi(G')$ colors

\rightarrow define a coloring χ' of G' by coloring v using a majority color of the vertices of G corresponding to v

$\rightarrow \chi(G') > k-1 \Rightarrow \exists uw \in E(G')$ s.t. $\chi'(u) = \chi'(w)$

$\Rightarrow \exists U, W$ of size $\geq \frac{m}{k-1} = m_0$ with the same color

\rightarrow from definition of G there $\exists U-W$ edge $\hookrightarrow \chi$ is not proper **■**

PROOF OF CLAIM

👁 # choices for U, W (over all $uw \in E(G')$) $\leq |E(G')| \cdot \binom{m}{m_0}^2$ union bound

👁 $P[|E(U,W)| \leq 2\sqrt{m}] = P[\forall F \subseteq U \times W : |F| = 2\sqrt{m} \dots \text{there are no edges from } F^c \text{ in } G] \leq \binom{m^2}{2\sqrt{m}} (1-q)^{m^2 - 2\sqrt{m}}$

$$\Rightarrow \mathbf{P_*} \leq |E(G')| \cdot \binom{m}{m_0}^2 \cdot \binom{m^2}{2\sqrt{m}} (1-q)^{m^2 - 2\sqrt{m}} \leq \frac{2^{8k}}{2} \cdot \frac{2^{2m}}{2} \cdot \frac{2^{4\sqrt{m} \log_2(m_0)}}{2} \cdot \frac{2^{1-q m^2}}{2} < \frac{1}{2}$$

🌟 $|V(G)| \leq 2^{4k} \Rightarrow |E| \leq |V|^2 \leq 2^{8k}$ **🌐** $\sum_{i=1}^m \binom{m}{i} = 2^m \Rightarrow \binom{m}{m_0} \leq 2^m$ ↑

🌟 $\binom{N}{k} \leq N^k \Rightarrow \binom{m^2}{2\sqrt{m}} \leq (m^2)^{2\sqrt{m}} = (m_0)^{4\sqrt{m}} = 2^{\log_2(m_0) \cdot 4\sqrt{m}}$

🌟 $(1-q)^{m^2} \leq 2^{-q m^2} \dots 1-q \leq e^{-q} \leq 2^{-q}$ Bernoulli's ineq: $(1-x)^r \geq 1-rx$
 $(1-q)^{-2\sqrt{m}} \leq 2 \Leftrightarrow (1-q)^{2\sqrt{m}} \geq \frac{1}{2} \dots \geq 1 - 2q\sqrt{m} = 1 - 2m^{\frac{1}{2g} - 1 + \frac{1}{2}} = 1 - 2m^{\frac{1-g}{2g}} = 1 - \text{almost } 0 \geq \frac{1}{2}$ ↪ ensure m_0

📊 $8k + 2m + 4\sqrt{m} \log_2(m_0) + 1 - q m^2 < -1 \Leftrightarrow q m^2 > 8k + 2m + 4\sqrt{m} \log_2(m_0) + 2$
 $q m^2 = m^{\frac{1}{2g} - 1} \cdot \frac{m^2}{(k-1)^2} = (m 2^{4k})^{\frac{1}{2g} - 1} \cdot \frac{m^2}{(k-1)^2} = \frac{m^{1 + \frac{1}{2g}}}{2^{4k} (k-1)^2} \cdot 2^{\frac{4k}{2g}} \geq \frac{m^{1 + \frac{1}{2g}}}{2^{4k} \cdot k^2} > 4m \geq \dots$

🌟 want: $m^{\frac{1}{2g}} > 4 \cdot 2^{4k} \cdot k^2 \dots$ we have $m^{\frac{1}{2g}} = 2^{4k} (4k)^2 (k-1)^{\frac{1}{g}} \geq 4^2 \cdot 2^{4k} \cdot k^2 \checkmark$ **■**

3 ⇒ 1: ORTHOGONALITY GRAPH ⇒ UNIT-DISTANCE GRAPH

→ recall that $V \subseteq \sqrt{\frac{d}{2}} S^{d-1}$, so $\|v\| = \sqrt{\frac{d}{2}} = \sqrt{4k}$

Pythagoras: $\|u-v\|^2 = \|u\|^2 + \|v\|^2 - 2\langle u|v \rangle$

↳ orthogonal pair of vectors $u(i) \perp v(j) \in V$ satisfy

⊗ $\|u(i) - v(j)\| = \sqrt{4k + 4k - 2 \cdot 0} = \sqrt{8k}$



⇒ after scaling everything down by a factor of $\frac{1}{\sqrt{8k}}$ we get a unit-distance graph

3 ⇒ 2: ORTHOGONALITY ⇒ DIAMETER GRAPH

→ we know (⊕) that all endpoints of edges are at the same distance, but this may not be the maximal distance

↳ what if $\langle u|v \rangle < 0$ in ⊗?

• replace each $v \in V$ by $v \otimes v := \text{flattened } v v^T = \begin{bmatrix} v_1 \cdot v_1 & v_1 \cdot v_2 & \dots & v_1 \cdot v_d \\ v_2 \cdot v_1 & v_2 \cdot v_2 & \dots & v_2 \cdot v_d \\ \vdots & \vdots & \ddots & \vdots \\ v_d \cdot v_1 & v_d \cdot v_2 & \dots & v_d \cdot v_d \end{bmatrix} = \begin{bmatrix} v_1 & v_1 \\ v_1 & v_2 \\ \vdots & \vdots \\ v_2 & v_1 \\ v_2 & v_2 \\ \vdots & \vdots \\ v_d & v_1 \\ v_d & v_2 \\ \vdots & \vdots \\ v_d & v_d \end{bmatrix} \in \mathbb{R}^{d^2}$

$\langle u \otimes u | v \otimes v \rangle = \sum_{i,j} u_i u_j v_i v_j = \sum_i u_i v_i \sum_j u_j v_j = \langle u|v \rangle^2 \geq 0$

⇒ $\|u \otimes u - v \otimes v\|$ is max. $\Leftrightarrow \langle u|v \rangle = 0 \Leftrightarrow u \perp v$

⇒ all edges are at max distance

! this would give $\chi(G) \geq (1.067 + o(1))^{\sqrt{d}}$

→ but we can save some dimensions

⊙ even though $v \otimes v \in \mathbb{R}^{d^2}$, the set $\{v \otimes v | v \in V\}$ belongs to a subspace of \mathbb{R}^{d^2} with dimension $d + \binom{d}{2}$... for $i \neq j$ is $v_i \cdot v_j$ repeated twice in $v \otimes v$

→ so only $d + \binom{d}{2}$ coordinates are being actively used

→ now $\chi(G) \geq (1.067 + o(1))^d$ diameter graph in $\mathbb{R}^{d + \binom{d}{2}} \approx \mathbb{R}^{\frac{d^2}{2}}$, $\frac{d^2}{2} = x, d = \sqrt{2x}$

$\chi(G) \geq (1.067 + o(1))^{\sqrt{2x}}$ diameter graph in \mathbb{R}^x

↳ $1.067^{\sqrt{2}} \approx 1.096$

↳ $d + \binom{d}{2} = \frac{d^2 + d}{2} \approx \frac{d^2}{2}$

⇒ $\chi(G) \geq (1.096 + o(1))^{\sqrt{d}}$ diameter graph in \mathbb{R}^d

BLACK-BOX ARGUMENTS FOR THE BETTER BOUND

8

Fact (Raigorodskii): There \exists orthogonality graphs in S^{d-1} with $\chi(G) \geq (1.1547 + o(1))^d$.

Proposition: If G is an orth. graph in S^{d-1} , then G^m is an orth. graph in S^{2d-1} .

Proof: Let $V = V(G) \subseteq S^{d-1}$. For $\forall v \in V$ define \hookrightarrow blow-up

• $v' := (v, \sigma) \in S^{d-1} \times \mathbb{R}^d \subseteq \mathbb{R}^{2d}$

• $v'' := (\sigma, v) \in \mathbb{R}^d \times S^{d-1} \subseteq \mathbb{R}^{2d}$

\Rightarrow If $u \perp v$, then $\text{span}_{\mathbb{R}}\{u', u''\} \perp \text{span}_{\mathbb{R}}\{v', v''\}$ are orth. planes in \mathbb{R}^{2d}

$\hookrightarrow \langle (a \cdot \vec{u}, t \cdot \vec{u}) | (c \cdot \vec{v}, d \cdot \vec{v}) \rangle = a \cdot c \langle u | v \rangle + t \cdot d \langle u | v \rangle = 0$

$\Rightarrow \forall v \in V$ choose distinct unit-vectors $v_1, \dots, v_m \in \text{span}\{v', v''\} \dots v_i \in S^{2d-1}$
 s.t. that they are also distinct between different v

$\rightarrow u \perp v \Rightarrow u_i \perp v_j \Rightarrow G^m$ is an orth. graph in S^{2d-1} \square

Fact (Xuding Zhu): \forall graph $G \forall g \exists m$ s.t. G^m contains a subgraph $G_0 \subseteq G^m$ s.t.
 G_0 has girth $\geq g$ & $\chi(G_0) = \chi(G^m) = \chi(G)$

Theorem 3: There \exists orthogonality graphs in S^{d-1} with $\chi(G) \geq (1.074 + o(1))^d$
 that have arbitrarily large girth.

Proof: Take G in S^{d-1} with $\chi(G) \geq (1.1547 + o(1))^d$, let g be given

\Rightarrow find m and $G_0 \subseteq G^m$ from fact 2

\rightarrow we know that G_0 is an orth. graph in S^{2d-1}

$\chi(G_0) \geq (1.1547 + o(1))^d$ in S^{2d-1}

$\chi(G_0) \geq (1.1547 + o(1))^{\frac{x}{2}}$ in S^x

$\chi(G_0) \geq (\sqrt{1.1547} + o(1))^d$ in S^d

$\hookrightarrow 1.074$

$$\left| \begin{array}{l} 2d-1 = x \\ d = \frac{x+1}{2} \approx \frac{x}{2} \end{array} \right.$$

Remark: They also provide an explicit proof of Fact 2, from what I can tell previous proofs were probabilistic